

2018 “HSBC HK Easy Invest” App Promotional Offer

Promotional Terms and Conditions

General terms and conditions

1. The Hongkong and Shanghai Banking Corporation Limited, Hong Kong (and its successors and assigns) (the “Bank” or “HSBC”) reserves the right to change these Promotional Terms and Conditions at any time and the offer may be withdrawn or terminated by the Bank at its discretion without prior notice. The Bank accepts no liability for any such change, withdrawal and / or termination.
2. No person other than the Eligible Customer (as defined below) and the Bank will have any right under the Contracts (Rights of Third Parties) Ordinance to enforce or enjoy the benefit of any of the provisions of these Promotional Terms and Conditions.
3. In the event of any dispute arising out of this promotion, the decision of the Bank shall be final and conclusive.
4. If there is any discrepancy between the promotional materials and these Promotional Terms and Conditions, these Promotional Terms and Conditions shall apply and prevail.
5. The offer under this promotion are provided subject to the prevailing regulatory requirements.
6. These Promotional Terms and Conditions are governed by and construed in accordance with the laws of the Hong Kong Special Administrative Region.
7. If the Bank needs to reimburse a rebate to an Eligible Customer, the Eligible Customer shall maintain a personal Hong Kong dollar cash account with the Bank at the time when the rebate is credited to his/her account. The Bank will forfeit the rebate amount without any notice if Eligible Customers do not maintain any personal Hong Kong dollar cash account with the Bank at the time when the rebate is reimbursed.
8. Other fees / charges still apply. These include, without limitation of, deposit transaction charge (for purchase orders only), Securities and Futures Commission transaction levy, investor compensation levy, Hong Kong Exchanges and Clearing Limited trading fee, stamp duty, handling fee (collected for Shanghai Stock Exchange/Shenzhen Stock Exchange), securities management fee (collected for China Securities Regulatory Commission), transfer fee (collected for China Securities Depository and Clearing Corporation Limited), U.S. Securities and Exchange Commission (SEC) fee (for sell transactions only) and American Depository Receipt (ADR) fee.
9. Where there is any discrepancy between the Chinese and English versions of these Promotional Terms and Conditions, the English version shall apply.

Specific Terms and Conditions for “HSBC HK Easy Invest” App Promotional Offer (the “Offer”)

1. The Offer is effective from **23 March 2018 to 30 September 2018**, both dates inclusive (the “Offer Period”).
2. The Offer is applicable to all HSBC personal customers.
3. Customers who make **purchase transaction of Hong Kong Stocks, China A Shares and US Stocks via HSBC HK Easy Invest app within the Offer Period will be entitled to a full rebate of the brokerage fee without a limit on the total rebate amount.** The Offer is not applicable to ‘sell’ transactions.
4. The Offer is not applicable to securities transactions executed via HSBC Internet Banking, Stock Express, HSBC HK Mobile Banking App, Manned Phonebanking Service, Automated Phonebanking Service and HSBC branches (the “Other Trading Channels”). For the avoidance of doubt, securities transactions placed via the Other Trading Channels and modified via “HSBC HK Easy Invest” App are not eligible for the Offer.
5. The standard brokerage fees will be deducted at the time of the transaction and the rebate for such brokerage fee will be paid to the settlement account on or before 31 January 2019.
6. If an Eligible Customer is eligible for other concurrently running promotional offers relating to securities services provided by the Bank, the Eligible Customer can only enjoy one of the offers which the Bank will decide based on what it deems to be of the highest value to the customer.

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Risk disclosure:

Investment involves risk. You should carefully consider whether any investment products or services mentioned herein are appropriate for you in view of your investment experience, objectives, financial resources and relevant circumstances. The price of securities may move up or down. Losses may be incurred as well as profits made as a result of buying and selling securities. This document does not constitute an offer for the purchase or sale of any investment products. The Bank does not provide investment advice.

For Renminbi (RMB) products:

- There may be exchange rate risks if you choose to convert RMB payments made on the securities to your home currency.
- RMB products may suffer significant losses in liquidating the underlying investments if such investments do not have an active secondary market and their prices have large bid/ offer spreads.
- In general, RMB equity products are exposed to the usual kind of default risks that might be associated with equity products denominated in other currencies.

For China A shares trading, please refer to the China Connect: Shanghai-Hong Kong and Shenzhen-Hong Kong Terms and Conditions for respective risk disclosure. These Terms and Conditions can also be found in in HSBC website.

Making available to you any advertisements, marketing or promotional materials, market information or other information relating to a product or service shall not, by itself, constitute solicitation of the sale or recommendation of any product or service.

The information in this material does not constitute a solicitation or recommendation for making any deposit or an offer for the purchase or sale or investment in any products.

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The contents of this document have not been reviewed by the Securities and Futures Commission.

Issued by The Hongkong and Shanghai Banking Corporation Limited