



## Promotional Terms and Conditions

### General terms and conditions

1. The Hongkong and Shanghai Banking Corporation Limited, Hong Kong (and its successors and assigns) (the “Bank” or “HSBC”) reserves the right to change these Promotional Terms and Conditions at any time and the offer may be withdrawn or terminated by the Bank at its discretion without prior notice. The Bank accepts no liability for any such change, withdrawal and / or termination.
2. No person other than the Eligible Customer (as defined below) and the Bank will have any right under the Contracts (Rights of Third Parties) Ordinance to enforce or enjoy the benefit of any of the provisions of these Promotional Terms and Conditions.
3. In the event of any dispute arising out of this promotion, the decision of the Bank shall be final and conclusive.
4. If there is any discrepancy between the promotional materials and these Promotional Terms and Conditions, these Promotional Terms and Conditions shall apply and prevail.
5. The offer under this promotion are provided subject to the prevailing regulatory requirements.
6. These Promotional Terms and Conditions are governed by and construed in accordance with the laws of the Hong Kong Special Administrative Region.
7. If the Bank needs to reimburse a rebate to an Eligible Customer, the Eligible Customer shall maintain a personal Hong Kong dollar cash account with the Bank at the time when the rebate is credited to his/her account. The Bank will forfeit the rebate amount without any notice if Eligible Customers do not maintain any personal Hong Kong dollar cash account with the Bank at the time when the rebate is reimbursed.
8. Other fees / charges still apply. These include, without limitation of, deposit transaction charge (for purchase orders only), Securities and Futures Commission transaction levy, investor compensation levy, Hong Kong Exchanges and Clearing Limited trading fee, stamp duty, handling fee (collected for Shanghai Stock Exchange/Shenzhen Stock Exchange), securities management fee (collected for China Securities Regulatory Commission), transfer fee (collected for China Securities Depository and Clearing Corporation Limited), U.S. Securities and Exchange Commission (SEC) fee (for sell transactions only) and American Depository Receipt (ADR) fee.
9. Where there is any discrepancy or inconsistency between the Chinese and English versions of these Promotional Terms and Conditions, the English version shall apply and prevail.

### Specific Terms and Conditions for “HSBC HK Easy Invest” App Promotional Offer (the “Offer”)

1. The Offer is effective from **1 November 2017 to 31 December 2017**, both dates inclusive (the “Promotional Period”).
2. This Offer replaces and supersedes in full the initial promotional offer with promotional period from 29 August 2017 to 30 November 2017, with effect as of 1 November 2017.
3. The Offer is only applicable to HSBC customers who are the sole or principal account holders of any HSBC Investment Services Accounts (the “Eligible Accounts”) (the “Eligible Customers”).
4. An Eligible Customer is entitled to HK\$100 brokerage fee rebate for each securities transaction successfully placed and executed **via “HSBC HK Easy Invest” App** through the Eligible Account during the Promotional Period, up to a maximum total aggregate rebate of HK\$2,000 for each Eligible Customers (the Offer”). .
5. The Offer is not applicable to securities transactions executed via HSBC Internet Banking, Stock Express, HSBC HK Mobile Banking App, Manned Phonebanking Service, Automated Phonebanking Service and HSBC branches (the “Other Trading Channels”). For the avoidance of doubt, securities transactions placed via the Other Trading Channels and modified via **“HSBC HK Easy Invest” App** are not eligible for the Offer.
6. The standard brokerage fees will be deducted and the brokerage fee rebate will subsequently be reimbursed to the settlement account on or before 31 March 2018.
7. If an Eligible Customer is eligible for more than one concurrently running promotional offer of the securities services, the Eligible Customer will be entitled to one of the offers only, and the Bank

reserves the right to award only the offer deemed to be of the highest value to the customer at the Bank's discretion.

**Risk disclosure:**

Investment involves risk. You should carefully consider whether any investment products or services mentioned herein are appropriate for you in view of your investment experience, objectives, financial resources and relevant circumstances. The price of securities may move up or down. Losses may be incurred as well as profits made as a result of buying and selling securities. This document does not constitute an offer for the purchase or sale of any investment products. The Bank does not provide investment advice.

For Renminbi (RMB) products:

- There may be exchange rate risks if you choose to convert RMB payments made on the securities to your home currency.
- RMB products may suffer significant losses in liquidating the underlying investments if such investments do not have an active secondary market and their prices have large bid/ offer spreads.
- In general, RMB equity products are exposed to the usual kind of default risks that might be associated with equity products denominated in other currencies.

For China A shares trading, please refer to the China Connect: Shanghai-Hong Kong and Shenzhen-Hong Kong Terms and Conditions for respective risk disclosure. These Terms and Conditions can also be found in in HSBC website.

Making available to you any advertisements, marketing or promotional materials, market information or other information relating to a product or service shall not, by itself, constitute solicitation of the sale or recommendation of any product or service.

The information in this material does not constitute a solicitation or recommendation for making any deposit or an offer for the purchase or sale or investment in any products.

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The contents of this document have not been reviewed by the Securities and Futures Commission.

*Issued by The Hongkong and Shanghai Banking Corporation Limited*