

# 2017 Stock Trading Service Preferential Offers

## Promotional Terms and Conditions

### General Terms and Conditions

1. The Hongkong and Shanghai Banking Corporation Limited, Hong Kong (and its successors and assigns) (the “Bank” or “HSBC”) reserves the right to change these Promotional Terms and Conditions at any time and the offer may be withdrawn or terminated by the Bank at its discretion without prior notice. The Bank accepts no liability for any such change, withdrawal and / or termination.
2. No person other than the Eligible Customer (as defined below) and the Bank will have any right under the Contracts (Rights of Third Parties) Ordinance to enforce or enjoy the benefit of any of the provisions of these Promotional Terms and Conditions.
3. In the event of any dispute arising out of this promotion, the decision of the Bank shall be final and conclusive.
4. All remarks and footnotes stated in the promotional materials are intended to serve as part of these Promotional Terms and Conditions. If there is any discrepancy between the remarks and footnotes and these Promotional Terms and Conditions, these Promotional Terms and Conditions shall apply and prevail.
5. The offer under this promotion is provided subject to the prevailing regulatory requirements.
6. These Promotional Terms and Conditions are governed by and construed in accordance with the laws of the Hong Kong Special Administrative Region.
7. Where there is any discrepancy or inconsistency between the Chinese and English versions of these Promotional Terms and Conditions, the English version shall apply and prevail.

### Specific Terms and Conditions of Stock Transfer-in/Deposit Offer

#### **0.1% cash rebate for Hong Kong Stocks, China A Shares and U.S. stocks transfer-in/deposit offer (the “Offer”)**

1. The Offer is effective from **1 January to 31 December 2017**, both dates inclusive (the “Promotional Period”).
2. The Offer is only applicable to customers who successfully open (a) a new **HSBC Premier** Investment Services Account / HSBC Premier Securities Margin Trading Service Account; or (b) a new **HSBC Advance** Investment Services Account / HSBC Advance Securities Margin Trading Service Account; or (c) a new **Personal Integrated Account**\*- Investment Services Account/Personal Integrated Account\* - Securities Margin Trading Services Account (the “Eligible Investment Account”), as **the sole or principal** account holder during the Promotional Period (the “Eligible Customers”). Eligible Customers must **not** terminate or downgrade these accounts before the reimbursement date stated in Clause 5 of these Specific Terms and Conditions below.
3. Any Eligible Customer for the Offer who is currently holding or has terminated any HSBC investment account with account suffix 380, 381, 388 or 391-394 within six months prior to the specific dates listed in the below table will **not** be eligible for the Offer:

<u>Eligible Investment Account opening date</u>	<u>Six months prior to below specific dates</u>
1 January 2017 – 31 March 2017	1 January 2017
1 April 2017 – 30 June 2017	1 April 2017
1 July 2017 – 30 September 2017	1 July 2017
1 October 2017 – 31 December 2017	1 October 2017

4. The Offer is a cash rebate equivalent to 0.1% of the market value of any **Hong Kong Stocks, China A Shares or U.S. stocks transferred-in or deposited into** any HSBC’s Eligible Investment Account from a

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non-HSBC account outside the Bank during the Promotional Period. The Offer is not applicable to any transfer between any HSBC investment accounts. The market value of the transferred-in/deposited Hong Kong Stocks, China A Shares or U.S. stocks is determined by the market closing price at the stock settlement date multiplying the number of shares of transferred-in/deposited Hong Kong Stocks, China A Shares or U.S. stocks. Each Eligible Customer (with the same identity document and regardless of the number of Eligible Investment Accounts he/she opens during the Promotional Period) is only entitled to receive the cash rebate for a maximum of (a) **HK\$10,000** for each existing member of Jade by HSBC Premier; or (b) **HKD5,000** for each HSBC Premier Eligible Customer; or (c) **HKD2,500** for each HSBC Advance or Personal Integrated Account Eligible Customer under this promotion, whichever is the highest.

5. The cash rebate will be credited to the settlement account of the Eligible Investment Account periodically, no later than 31 March 2018.
6. Other transaction fees / charges / levy still apply. These include, without limitation, brokerage fee, deposit transaction charges (for purchase orders only), Securities and Futures Commission of Hong Kong transaction levy, investor compensation levy, Hong Kong Exchange and Clearing Limited trading fee and stamp duty, handling fee (collected for Shanghai Stock Exchange/Shenzhen Stock Exchange), securities management fee (collected for China Securities Regulatory Commission) and transfer fee (collected for China Securities Depository and Clearing Corporation Limited), U.S. Securities and Exchange Commission (SEC) fee (for sell transaction only) and American Depository Receipt (ADR) fee.
7. If an Eligible Customer is eligible for more than one concurrently running Hong Kong Stocks, China A Shares or U.S. stocks promotional offer, the Eligible Customer will be entitled to one of the offers only, and the Bank reserves the right to award **only** the offer deemed to be of higher value to the customer at the Bank's discretion.

\* "Personal Integrated Account" is also named as "SmartVantage"; Personal Integrated Account and SmartVantage are used interchangeably in customer communications including account statement, bank forms / advices, internet banking platform etc.

## **Risk disclosure:**

Investment involves risk. You should carefully consider whether any investment products or services mentioned herein are appropriate for you in view of your investment experience, objectives, financial resources and relevant circumstances. The price of securities may move up or down. Losses may be incurred as well as profits made as a result of buying and selling securities. This document does not constitute an offer for the purchase or sale of any investment products.

For Renminbi (RMB) products:

- There may be exchange rate risks if you choose to convert RMB payments made on the securities to your home currency.
- RMB products may suffer significant losses in liquidating the underlying investments if such investments do not have an active secondary market and their prices have large bid/ offer spreads.
- In general, RMB equity products are exposed to the usual kind of default risks that might be associated with equity products denominated in other currencies.

For China A shares trading, please refer to the China Connect: Shanghai-Hong Kong and Shenzhen-Hong Kong Terms and Conditions for respective risk disclosure. These Terms and Conditions can also be found in HSBC website.

The contents of this document have not been reviewed by the Securities and Futures Commission.

*Issued by The Hongkong and Shanghai Banking Corporation Limited*